## **CIRCULAR**

#### Dated: 28.10.2023

#### Sub: Online application for submission of proposals to the Institutional Ethics Committee Interventional Studies for November 2023 - Reg.

Faculty, PhD scholar, P.G. and U.G. Students are invited to submit research proposals approved by JSAC/PGRMC/SCTRC/GJ-STRAUS for approval by Institutional Ethics Committee – Interventional studies in the prescribed proforma available on website.

- Kindly use the link <u>https://iecmanager.org/institution/283</u> for filling the online version of JIEC application form and relevant annexures.
- 2. PIs are required to **upload the soft copy** of their signed declaration form, consent forms and scientific proposal to <u>https://iecmanager.org/institution/283</u>.
- 3. **One original hard copy** of the following documents is required to be submitted to Member-Secretary, Institutional Ethics Committee Interventional studies (IEC-IS) at Institute Ethics Committee office, First floor, Administrative block, JIPMER.
  - a) Covering letter (Forwarded by Guide & HoD)
  - b) JSAC/ UGRMC/PGRMC certificate
  - c) GCP certificate of student & guide
  - d) Original signed research proposal (JSAC ver. August 2020) / PGRMC proposal dated 15.11.2020)
  - e) Printout of the online IEC application
  - f) Signed Original Declaration form
  - g) Informed Consent Documents (PIS, Consent forms, Assent form etc.)
  - h) Data collection proformas, Questionnaire etc.
  - i) CV of PI / Co-PI (s) / Guide& Co-Guide (s)
  - j) Any other documents

( PIs / Students are requested to attach the documents in the above given order i.e. a to j )

- 4. Procedure and guidelines to upload proposal on e-EC portal is available in the JIPMER website <u>https://jipmer.edu.in/research/research-committee/institute-ethics-committee/important-information</u>
- 5. The hard copy should be typed using **both sides** of A4 size paper, in 12 font size with 1.5 spacing and page numbering.
- 6. The last date for submission of proposals to reach the office of the undersigned for consideration of approval by Institutional Ethics Committee Interventional studies for the month of November is on or before 10-11-2023 (Friday). Proposals submitted before the last date will be taken up for discussion in IEC Interventional committee meeting scheduled to be held tentatively on 24.11.2023

Dr. Sandhiya S., Member Secretary, IEC – Interventional Studies

To:

- All Heads of the Departments with a request to bring the same to the knowledge of the faculty and residents of their departments.
- Director, JIPMER for information
- Dean (Research) and Dean (Academics) for information

# Procedure for Online application for Ethics approval

**Step – 1:** Click the link <u>https://iecmanager.org/institution/283</u> to visit the eEC portal. Click on *Register* as Principal Investigator to open Online Application Form.

**Step – 2:** In online form, investigators are required to fill their background information. After submission of the online form, investigator will receive an e-mail to create a password for their account. Password must include an uppercase letter, a lower-case letter, a number, and a special character.

**Step – 3:** Reopen the webpage again and login with user ID (Personal E-mail ID) and password as Principal Investigator.

Step – 4: Click on *Submit new project* on the left menu.

Step – 5: Fill the required details under *Project Summary*.

Under Review type, choose one of the following:

Full Board Review	:	For Fresh proposal
Expedited Review	:	For Major / Minor revision For waiver of consent
Exemption from Review	:	For Exemption from Review

**Step – 6:** Answer the *FILTER QUESTIONS* suitably to proceed with online filling of the application form:

- 1. Does your research involve human genetic testing? Yes / No
- 2. Will participants be prospectively recruited? Yes / No
- 3. Is your research socio-behavioural and/or public health research? Yes / No

4. Is your research a clinical trial? (both academic and regulatory clinical trials of

drugs, devices, innovative procedures, IND, BA, BE, ISM,) Yes No

Step 7: Fill the Application form for Initial review as per project requirements.

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	Dashboard My Projects Project Notifications Reminders My IEC My Account	
$\bigcirc$	♣ > My Projects > vdasv	
Kundan Yadav Principal Investigator © Logout	Application Form for Initial Review (Name of the Institution) EC Ref. No. (For office use):	
	Jawaharlal Institute of Postgraduate Medical Education and Research EC Ref. No.	
Project Summary		
Basic Information     Overview of Research		
Methodology	SECTION A - BASIC INFORMATION	
<ul> <li>Recruitment And Research Participants</li> </ul>	1. ADMINISTRATIVE DETAILS	
Benefits And Risks	(a) Name of Organization: Jawaharlal Institute of Postgraduate Medical Education and Research (b) Name of Ethics Committee * :	4
Informed Consent	Institutional Ethics Committee for intervention studies	~
Payment/Compensation	(c) Name of Principal Investigator * : (d) Department/Division * :	
Storage And Confidentiality	Kundan Yadav Dean Research office	
<ul> <li>Publication, Benefit Sharing And IPR Issues</li> </ul>	(e) Date of submission: (f) Type of review requested * :	
Declaration	07/11/2020 🗮 🕐 Expedited review 🛞 Full committee review	
→ Checklist	(Note: Refer to Table 4.2 on pages 36 and 37 of the National Ethical Guidelines for Biomedical and Health Research Involving Human Participants, by the Indian Council of Medical Research, for categorisation of the review types.)	1
ANNEXURES	(g) Title of the study *: Go to Settings to activate Win	idows.
Expedited Review	asbd	

Step - 8: Download the Declaration page and affix signatures of all the investigators. If required,

use an additional blank sheet. Scan and upload on the same webpage. Click save and Continue.

blication, Benefit Sharing And IPR	I/We confirm that an undertaking of what will be done with the leftover samples is provided, if applicat	
ues claration	<ul> <li>I/We confirm that we shall submit any protocol amendments, adverse events report, significant deviati of the study if needed.</li> </ul>	ions from protocols, progress reports (if required) and a final report and also participate in any audit
ecklist	<ul> <li>I/We confirm that we will maintain accurate and complete records of all aspects of the study.</li> </ul>	
XURES	I/We will protect the privacy of participants and assure confidentiality of data and biological samples.	
pedited Review	I/We hereby declare that I/any of the investigators, researchers and/or close relative(s), have no confil	ict of interest (Financial/Non-Financial) with the sponsor(s) and outcome of study.
emption from Review	I/We have the following conflict of interest (PI/Co-I):	
nical Trials	I/We declare/confirm that all necessary government approvals will be obtained as per requirements will be obtained as per requirements will be obtained as per requirements will be obtained as per requirements.	herever applicable.
man Genetics Testing Research	Name of PI:	Date:
cio-Behavioural and Public Health search	Kundan Yadav	07/11/2020
cuments Upload	Name of Guide:	Date:
loaded Document(s)	Dr Subitha L	07/11/2020
	Name of HOD:	Date:
	Dean	07/11/2020
	Upload Signed Declaration Form 1604725195_InitialBubmission_Declaration.pdf Kindly download the completed declaration form, take a print out and sign this page. Please, upload the signed declaration page as a part of your applications to the Ethics Committee Please new : Supplications souther without this document my not be considered for review by your institute? Ethics	Preview Download Save & Continue
	Committee.	

**Step – 9:** Fill the checklist page as required. Continue filling the Annexures.

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Kundan Yadav Principal investigator @ Logout	A > My Projetts > vdasv		cure 11) ioural and Public Health Research ECRef. No. (For office use):
<ul> <li>Project Summary</li> </ul>			
Basic Information	Jav	vaharlal Institute of Postgraduate Medical Education and R	esearcn
Overview of Research			
Methodology	Title of study * :		
<ul> <li>Recruitment And Research</li> <li>Participants</li> </ul>	vdasv Principal Investigator * :-		
Benefits And Risks	Name:	Designation:	Affiliation:
Informed Consent	Kundan Yadav	MTS	Dean Research office, Jawaharlal Institute of Postgraduate Medical
Payment/Compensation			Education and Research
Storage And Confidentiality	1. Data collection method used in	the study * :	
<ul> <li>Publication, Benefit Sharing And IPR Issues</li> </ul>		ire/Survey 🗍 Observation 📋 Interviews 📄 Documents and records 📄 Ethnogr	aphies/Oral history/Case studies
Declaration	Others (specify)		
→ Checklist			
ANNEXURES		ensure privacy and confidentiality of participants in the event of data sharing. $\stackrel{\bullet}{}$ :	Activate Windows Go to Settings to activate Windows.
Section 2017	Max 500 words		

**Step – 10:** In the *Documents section*, upload essential documents after selecting the appropriate option from drop-down menu (*Covering letter forwarded by guide, JSAC Protocol INCLUDING data collection proforma, Informed Consent Documents in English* **&Tamil)** in pdf format only and click on save button.

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Kundan Yadav Principal Investigator O Logout	A > My Pro	<sub>jects</sub> → vdasv Submit Document	(5)			Your informat	ion saved successfully.						
<ul> <li>Project Summary</li> </ul>		Document Type *	Select Document	: Туре		•	Document Title *						
Basic Information		Version *							Upload Document				
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<ul> <li>Recruitment And Research Participants</li> </ul>											Save		
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Storage And Confidentiality													
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Expedited Review													

**Step – 11:** Once you click *Next*, the incomplete sections will be highlighted in orange. Revisit the sections and complete the must enter fields in the suitable formats.

Kundan Yadav Principal Investigator	DOCUMENT SECTION			
🖒 Logout	SUBMISSION CHECKLIST / DOCUMENT RECEIPT FORM			
oject Summary	Document Type	Document Version	Document Date	Actions
sic Information	Approval of JSAC / PGRMC / UGRMC / NRMC	1	07/11/2020	۵ (۵
erview of Research	CV of PI / Co-PI (s) / Guide / Co-Guide (s)	1	07/11/2020	۰ ۵
thodology	Informed consent documents in English and Tamil or Waiver of Consent Form	1	07/11/2020	۵ (۵
cruitment And Research				
rticipants	Letter to Member Secretary/ Chairperson	1	07/11/2020	۵ (۲)
nefits And Risks	Protocol	1	07/11/2020	۰ 🕲
ormed Consent	Administrative sanction from the Head of the Institution for the samples to be sent to outside host institution (one copy)		Not Updated	
yment/Compensation	Administrative sanction from the Head of the Institution in case of collaborative studies with other institutions / foreign agencies (one		Not Updated	
orage And Confidentiality	copy) Any other Documents submitted		Not Updated	
blication, Benefit Sharing And IPR	Case Record Form		Not Updated	
ues	DCG (I) approval letter [if the study falls in new drug category.]		Not Updated	
claration	Ethics Committee clearance of other centers (Total No)		Not Updated	
ecklist	if DCGI approval letter is awaited, upload the application letter to DCGI[If the study falls in new drug category.]		Not Updated	
	Informed consent document in Other languages		Not Updated	
XURES	Investigator's undertaking to DCG (I) [if the study falls in new drug category.]		Not Updated	
pedited Review	Memorandum Of Understanding, as applicable, for collaborator (draft if final not ready)		Not Updated	
emption from Review	Questionnaire		Not Updated	
nical Trials	Research participants recruitment procedures: advertisement, notices (If applicable)		Not Updated	
man Genetics Testing Research	Scales		Not Updated	
cio-Behavioural and Public Health	cv		Not Updated	C
search				Activate Windows
cuments Upload				Go to Setti Submit for IEC Review

Step – 12: The last tab on CV can be used to save your CV profile on the website for future use.

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Dr. Subtitha L Principal Investigator O Legont	₩ > My Account My CV	t > My CV					(Annexure 13)			
My CV		<u>9</u>	Jawaharlal Insti	ute of Postgradu		rmat for Curi	ri <mark>culum Vitae fo</mark> <sub>earch</sub>	r Investigator	'S	
	Na	me:								
	Dr	r. Subitha L								
	Pre	esent affiliation:-								
	Jol	b title			Dep	artment		Organisation		
					Pre	ventive and Social Medic	ine			
	Ad	dress (Full work a	address):							
	Tel	lephone number:					Email address:			
							subitha l⊚ɑmail.com		Activate Windows	

**Step – 13:** Finally, all the tabs appear green. Click on *Submit to IEC review* button to finish the submission.

Nullyan Layay	DOCUMENT SECTION					_	
Principal Investigator	DOCUMENT SECTION						
🖒 Logout	SUBMISSION CHECKLIST / DOCUMENT RECEIPT FORM						
Project Summary	Document Type	Document Version	Document Date	Actions			
Basic Information	Approval of JSAC / PGRMC / UGRMC / NRMC	1	07/11/2020	۰ 🕹			
Overview of Research	CV of PI / Co-PI (s) / Guide / Co-Guide (s)	1	07/11/2020	•			
Methodology	Informed consent documents in English and Tamil or Waiver of Consent Form	1	07/11/2020	• •			
Recruitment And Research Participants	Letter to Member Secretary/ Chairperson	1	07/11/2020	• •			
Benefits And Risks							
Informed Consent	Protocol	1	07/11/2020	۰ 🕹			
	Administrative sanction from the Head of the Institution for the samples to be sent to outside host institution (one copy)		Not Updated				
Payment/Compensation	Administrative sanction from the Head of the Institution in case of collaborative studies with other institutions / foreign agencies (one copy)		Not Updated				
Storage And Confidentiality	Any other Documents submitted		Not Updated				
Publication, Benefit Sharing And IPR Issues	Case Record Form		Not Updated				
Declaration	DCG (I) approval letter [if the study falls in new drug category.]		Not Updated				
	Ethics Committee clearance of other centers (Total No)		Not Updated				
Checklist	if DCGI approval letter is awaited, upload the application letter to DCGI[If the study falls in new drug category.]		Not Updated				
	Informed consent document in Other languages		Not Updated				
NNEXURES	Investigator's undertaking to DCG (I) [if the study falls in new drug category.]		Not Updated				
Expedited Review	Memorandum Of Understanding, as applicable, for collaborator (draft if final not ready)		Not Updated				
Exemption from Review	Questionnaire		Not Updated				
Clinical Trials	Research participants recruitment procedures: advertisement, notices (If applicable)		Not Updated				
Human Genetics Testing Research	Scales		Not Updated				
Socio-Behavioural and Public Health	CV		Not Updated	6			
Research							
Documents Upload				Activate Submit for IEC	Doviow		
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# For downloading the filled in application for hard copy submission:

Step 1: In the home page, click on *My projects* tab and look for your submission. Click on the eye

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Dr. Subitha L Principal Investigator C Logout	Search Projects by:	۹					Θ
My Projects							View 1-5 of 7
Submit New Project	Project Number	<ul> <li>Project Title</li> </ul>	<ul> <li>Project Status</li> </ul>	<ul> <li>Latest Submission</li> </ul>	<ul> <li>Latest Submission Date</li> </ul>	<ul> <li>Document(s) Status</li> </ul>	Actions
Archived Projects	31139	wd	Submitted to IEC	Project Initial Submission	11-11-2020	Submitted to IEC	
	63228	EWF	Pending Submission	Project Initial Submission	11-11-2020	Pending Submission	Project Overview
	22224	RGS	Pending Submission	Project Initial Submission	11-11-2020	Pending Submission	۲ 🔁
	22137	afea	Pending Submission	Project Initial Submission	10-11-2020	Pending Submission	۲ 🔁
	Int-2018/300	TB; LION	Approved	Project Initial Submission	10-02-2020	Approved	۲
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Step 2: Click on the *Projects documents* tab and check the bottom half for downloading the IEC application.

31139 Overview	•	Project Documents							
Overview									0
		Submissions	Project Initial Submission_11-11-2020	~	Search		Do	ownload All Documents	
Study Team Member									
Project History									View 1-5 of 5
Submit New Documents	•	Document Type			<ul> <li>Document Title</li> </ul>	<ul> <li>Version</li> </ul>	<ul> <li>Document Date</li> </ul>	Action	
Submit New Project		CV of PI / Co-PI (s) / Guide	Co-Guide (s)		1	1	04-11-2020	ابه 🕹 👁	
		Approval of JSAC / PGRMC	/ UGRMC / NRMC		1	1	04-11-2020	۰ 🕰	
		Informed consent documen	ts in English and Tamil or Waiver of Consent Form		1	1	04-11-2020	● ۵ ↔	
		Letter to Member Secretary,	Chairperson		1	1	04-11-2020	ا ♦ ♦	
		Protocol			1	1	04-11-2020	• ۵ •	
		Submitted Form/Annexure			Submission Dat	e		Action	
		Project Initial Submission			2020-11-11				
		Signed Declaration Form			2020-11-11			View Document	]

icon for project overview.

## **Step – 3:** View the application and download it.

O iecmanager.org/project/preview/eyJpdil6ImV3djB5VTBYdXZqTjhUTmFTbDNNa0E9PSIsIr	nZhbHVIljoiTWNGQmpxRjhsaXZTM2daeExxaTdRZz09liwibWFJijoiMDQ1YWlxZTAzZJVjOGZmYmlwNjM4Zml2YzVkZWMx 🛠 📓 🛊 🤳 🗄
	Print Downloa.
AI	pplication Form for Initial Review
	EC Ref. No. (For office use):
Jawaharlal Institute of Postgraduate Medical Educa	ation and Research 31139
Questions in the form that do not apply for this application are greyed out. General Instructions :a) Tick one or more as applicable. Mark NA if not applicable b) Attach additional sheets if required	
SECT	ION A - BASIC INFORMATION
1. ADMINISTRATIVE DETAILS	
(a) Name of Organization:	(b) Name of Ethics Committee:
Jawaharlal Institute of Postgraduate Medical Education and Research	Institutional Ethics Committee for Intervention studies
(c) Name of Principal Investigator:	(d) Department/Division:
Dr. Subitha L	Preventive and Social Medicine
(e) Date of submission:	(f) Type of review requested:
11/11/2020	Exempt from Review Expedited Review Full Board Review
	(Note: Refer to Table 4.2 on pages 36 and 37 of the National Ethical Guidelines for Biomedical and Health Research Involving Human Participants, by the Indian Council of Idedical Research, for categorisation of the review types.)
(g) Title of the study:	
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